

**New Business  
CONTRACTORS QUESTIONNAIRE – CA / NV**

**FINANCIAL PACIFIC**



**IMPORTANT NOTICE:** THIS QUESTIONNAIRE IS AN INTEGRAL PART OF THE APPLICATION. PLEASE ASK FOR CLARIFICATION IF YOU DO NOT UNDERSTAND A QUESTION. IF A POLICY IS ISSUED, THE ANSWERS IN THIS QUESTIONNAIRE ARE CONSIDERED WARRANTIES BY YOU, IN CONSIDERATION FOR FINANCIAL PACIFIC INSURANCE COMPANY PROVIDING GENERAL LIABILITY INSURANCE. EACH ANSWER IS MATERIAL TO THE DECISION OF FINANCIAL PACIFIC INSURANCE COMPANY WHETHER TO PROVIDE THE INSURANCE. YOUR FAILURE TO PROVIDE A COMPLETE AND ACCURATE ANSWER TO ANY QUESTION MAY RESULT IN RESCISSION OF THE ENTIRE POLICY, WHICH MEANS THE POLICY WILL BE VOID FROM INCEPTION AND YOU WILL NOT BE COVERED.

Insured / Applicant \_\_\_\_\_ License number(s) and type(s) \_\_\_\_\_

Insured / Applicant social security number \_\_\_\_\_ Web address \_\_\_\_\_

Total years in business \_\_\_\_\_ Years under your current business name \_\_\_\_\_

1. Approximate percent of work. (Each column must total 100%)

New _____%	Commercial _____%	Direct Contractor _____%
Remodel _____%	Residential _____%	Subcontractor _____%
<b>Total 100 %</b>	<b>Total 100 %</b>	<b>Total 100 %</b>

2. Operations of Applicant. Please include all projects, whether completed or not and regardless of whether you were compensated. If any of the answers are "yes," or if you are uncertain about a question or an answer, please describe your answers on a separate sheet of paper.

- a. Has more than 50% of your residential new construction been in the following counties:  
Alameda, Santa Clara, Santa Cruz, San Francisco, San Mateo, Marin or Contra Costa? ..... Yes \_\_\_ No \_\_\_
- b. Will more than 50% of your residential new construction be in the following counties:  
Alameda, Santa Clara, Santa Cruz, San Francisco, San Mateo, Marin or Contra Costa? ..... Yes \_\_\_ No \_\_\_
- c. Do you have any current or prior projects in Southern California including the following counties:  
Ventura, Los Angeles, Orange, San Diego, Riverside or San Bernardino? ..... Yes \_\_\_ No \_\_\_
- d. Do you plan to be involved in any future projects in Southern California including the following counties:  
Ventura, Los Angeles, Orange, San Diego, Riverside or San Bernardino? ..... Yes \_\_\_ No \_\_\_  
If no, will you advise Financial Pacific prior to working on these projects? ..... Yes \_\_\_ No \_\_\_
- e. Do you have any current or prior projects involving townhouses or condominiums, including any work to prepare a location for the later development of townhouses or condominiums? ..... Yes \_\_\_ No \_\_\_
- f. Do you plan to be involved in any future townhouse or condominium projects? ..... Yes \_\_\_ No \_\_\_  
If no, will you advise Financial Pacific prior to working on these projects? ..... Yes \_\_\_ No \_\_\_
- g. Have you ever acted as a general contractor in the construction of a residential property? ..... Yes \_\_\_ No \_\_\_
- h. Do you have any current or prior projects located on landfills or other subsidence areas? ..... Yes \_\_\_ No \_\_\_
- i. Do you plan to be involved in any way in any future projects located on landfills or other subsidence areas? ..... Yes \_\_\_ No \_\_\_  
If no, will you advise Financial Pacific prior to working on these projects? ..... Yes \_\_\_ No \_\_\_
- j. Do you have any current or prior projects involving demolition of entire structures, waterproofing, swimming pool construction, retaining wall construction over four feet high, street or road construction other than for new developments or roofing when the job is primarily roofing? ..... Yes \_\_\_ No \_\_\_
- k. Do you plan to be involved in any way in any future projects involving demolition of entire structures, waterproofing, swimming pool construction, retaining wall construction over four feet high, street or road construction other than for new developments or roofing when the job is primarily roofing? ..... Yes \_\_\_ No \_\_\_  
If no, will you advise Financial Pacific prior to working on these projects? ..... Yes \_\_\_ No \_\_\_  
If you plan to be involved with roofing projects, what percentage of your work would be roofing? \_\_\_\_\_%
- l. Do you have any current or prior projects involving the use of "Exterior Insulation and Finish Systems" (EIFS) methods instead of traditional stucco? ..... Yes \_\_\_ No \_\_\_
- m. Do you plan to use "Exterior Insulation and Finish Systems" (EIFS) method instead of the traditional stucco? ..... Yes \_\_\_ No \_\_\_  
If no, will you advise Financial Pacific prior to using EIFS? ..... Yes \_\_\_ No \_\_\_

- n. Do you have any current or prior projects involving the use of explosives or projects that you have hired subcontractors who use explosives? ..... Yes \_\_\_ No \_\_\_
- o. Do you plan to be involved in any way in any future projects involving the use of explosives or projects that you will hire subcontractors who use explosives? ..... Yes \_\_\_ No \_\_\_  
If no, will you advise Financial Pacific prior to working on the projects? ..... Yes \_\_\_ No \_\_\_
- p. Does your work involve the use of traffic control on public roads? ..... Yes \_\_\_ No \_\_\_  
If yes, is the traffic control done by you? ..... Yes \_\_\_ No \_\_\_  
If yes, is the traffic control done by a traffic control company? ..... Yes \_\_\_ No \_\_\_  
If yes, are you named as an additional insured on the traffic control company's liability policy? ..... Yes \_\_\_ No \_\_\_
- q. Please list the five (5) largest jobs you have completed within the last five (5) years?  
Job Name \_\_\_\_\_ # of houses \_\_\_\_\_ Gr income \_\_\_\_\_ Controlling Gen Cont \_\_\_\_\_  
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- r. What is the greatest number of homes you have worked on in any one calendar year? \_\_\_\_\_
- s. Do you have any current or prior projects involving tract work (tract = a subdivision of 25 or more houses) ..... Yes \_\_\_ No \_\_\_  
If yes, please describe and indicate # of homes in any one tract \_\_\_\_\_  
\_\_\_\_\_

3. Have you ever been, or are you now, involved in litigation or arbitration regarding any current or prior projects? ..... Yes \_\_\_ No \_\_\_
4. Has anyone ever informed you, or have you received any notice, that you could be involved in litigation or arbitration regarding any current or prior projects? ..... Yes\* \_\_\_ No \_\_\_
5. Have you ever been in business under another name? ..... Yes \_\_\_ No \_\_\_  
If yes, what happened? \_\_\_\_\_
6. Have you ever declared bankruptcy? ..... Yes \_\_\_ No \_\_\_
7. Have you ever had any claims involving water seepage / intrusion? ..... Yes\* \_\_\_ No \_\_\_
8. Please list number of employees for each of the last three years.  
1st Prior Year \_\_\_\_\_ 2nd Prior Year \_\_\_\_\_ 3rd Prior Year \_\_\_\_\_
9. Please give subcontractor costs for the last three years.  
1st Prior Year \$ \_\_\_\_\_ 2nd Prior Year \$ \_\_\_\_\_ 3rd Prior Year \$ \_\_\_\_\_
10. Please give gross receipts for each of the last three years.  
1st Prior Year \$ \_\_\_\_\_ 2nd Prior Year \$ \_\_\_\_\_ 3rd Prior Year \$ \_\_\_\_\_
11. Please give payroll for each of the last three years.  
1st Prior Year \$ \_\_\_\_\_ 2nd Prior Year \$ \_\_\_\_\_ 3rd Prior Year \$ \_\_\_\_\_
12. What is the average time spent, by you or your job superintendent, at each job site per week? \_\_\_\_\_
13. Do you use subcontractors? ..... Yes \_\_\_ No \_\_\_  
If yes, what percentage of your work is subcontracted? \_\_\_\_\_ %  
If yes, are all of your subcontractors always required to:  
a. Provide you with proof of workers compensation and liability insurance before they or their employees are allowed on the job site? ..... Yes \_\_\_ No \_\_\_  
b. Maintain liability insurance with limits equal to or higher than your own limits? ..... Yes \_\_\_ No \_\_\_  
c. Provide an endorsement on their insurance policy naming you as an additional insured before beginning work? ..... Yes \_\_\_ No \_\_\_  
d. Do you keep a copy of the subcontractor's proof of insurance in your job file? ..... Yes \_\_\_ No \_\_\_  
If yes, how long do you keep the file? \_\_\_\_\_

14. Have you ever been involved in the installation or removal of petroleum storage tanks? ..... Yes \_\_\_ No \_\_\_  
 If yes, please explain in detail on a separate sheet of paper.
15. Are you aware of anyone who has said that work done by you was not done properly? ..... Yes \_\_\_ No \_\_\_  
 If yes, please explain on a separate sheet of paper.
16. Please indicate payroll for the following job descriptions:  
 Managers/Officers \$ \_\_\_\_\_ Supervisors/Foremen \$ \_\_\_\_\_ Laborers \$ \_\_\_\_\_  
 Do the managers and officers normally exercise direct supervision over employees? ..... Yes \_\_\_ No \_\_\_
17. Have you or any member of your firm been accused of, or been convicted of any copyright infringement or have you knowingly violated any copyrights in your current or past business activities? ..... Yes \_\_\_ No \_\_\_  
 If yes, please explain in detail on a separate sheet of paper.
18. Are you currently aware of any environmental conditions that could give rise to a pollution claim? ..... Yes \_\_\_ No \_\_\_  
 If yes, please explain in detail on a separate sheet of paper.
19. Are there any above ground or underground tanks with a capacity of 250 gallons or more located on the premises? ..... Yes \_\_\_ No \_\_\_  
 If yes, please provide a tank schedule.  
 If yes, do tanks meet 1998 EPA upgrade requirements? ..... Yes \_\_\_ No \_\_\_
20. During the last 5 years have you been cited and/or prosecuted for contravention or violation of any standard or law relating to the release from your premises of any substance into sewers, rivers, seas, air or land? ..... Yes \_\_\_ No \_\_\_
21. Do you have a safety program? ..... Yes \_\_\_ No \_\_\_  
 If yes, please describe (or attach copy)

**I certify that the information in or attached to this questionnaire is true, complete and correct based on business records and my personal knowledge. I understand that Financial Pacific Insurance Company may rescind coverage if I have not provided accurate and complete information in this questionnaire. I grant Financial Pacific authorization to order a credit report on me and/or my business.**

<b>Owner or Officer's Name</b>	<b>Agent's Name</b>
<b>Title</b>	<b>Agent's Signature</b>
<b>Owner or Officer's Signature</b>	<b>Date</b>
<b>Date</b>	<b>Expiring FPIC policy number, if applicable</b>

**ACKNOWLEDGMENT**

State of \_\_\_\_\_

County of \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_ [insert name here]

Notary Public, personally appeared \_\_\_\_\_, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me all that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signatures on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal. Signature \_\_\_\_\_ [seal]